



#4

PATENT  
1340-1-021CIP2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: BRIAN SORRENTINO AND JOHN SCHUETZ  
SERIAL NO. : 09/866,866 EXAMINER : UNKNOWN  
FILED : MAY 29, 2001 ART UNIT : 1633  
FOR : METHOD OF IDENTIFYING AND/OR ISOLATING STEM  
CELLS AND PROGNOSING RESPONSIVENESS TO  
LEUKEMIA TREATMENT

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on August 28, 2001.

Michael D. Davis, Reg. No. 39,161  
(Name of Registered Rep.)

Betty Schuetz 8/28/01  
(Signature and Date)

STATEMENT IN SUPPORT OF THE FILING/SUBMISSION OF A  
NUCLEOTIDE/AMINO ACID SEQUENCE LISTING IN  
ACCORDANCE WITH 37 CFR §1.821 - 1.825

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

MICHAEL D. DAVIS, attorney of record, hereby states as follows:

1. I hereby state that the content of the paper and computer readable copies of the Sequence Listing submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.
2. I hereby state that the submission, filed in accordance with 37 CFR §1.821(g) herein does not include new matter.

DATED: August 28, 2001

Michael D. Davis  
MICHAEL D. DAVIS



PAGE:  
08/27/2001

1

VERIFICATION SUMMARY REPORT

DATE:

PATENT APPLICATION

TIME:

15:09:11

INPUT SEQ: A:\1340-21CIP2.ST25.txt

GENERAL INFORMATION SECTION

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3,<110> Sorrentino, Brian  
4, Schuetz, John  
6,<120> A Method of Identifying and/or Isolating Stem Cells  
8,<130> 1340-1-021CIP2  
10,<140> 09/866,866  
11,<141> 2001-05-29  
13,<150> 09/584,586  
14,<151> 2000-05-31  
16,<150> PCT/US99/11825  
17,<151> 1999-05-27  
19,<150> 60/086,988  
20,<151> 1998-05-28  
22,<160> 27  
24,<170> PatentIn version 3.0

ERRORED LINES SECTION

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STATISTICS SUMMARY

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Application Serial Number: 09/866,866  
Alpha or Numeric: Numeric  
Application Class:  
Application File Date: 2001-05-29  
Art Unit:  
Software Application: PatentIn  
Total Number of Sequences: 27  
Total Nucleotides: 24408  
Total Amino Acids: 7226  
Number of Errors: 0  
Number of Warnings: 0  
Number of Corrections: 0



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TREATMENT

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Michael D. Davis, Reg. No. 39,161  
(Name of Registered Rep.)

Betty Schuetz 8/28/01  
(Signature and Date)

RESPONSE TO NOTICE TO COMPLY

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

In Response to the "Notice to Comply" and RAW SEQUENCE LISTING ERROR REPORT due September 20, 2001, Applicants enclose a paper and electronic copy of a Substitute Sequence Listing along with the Statement in Support of The Filing/Submission of a Nucleotide/Amino Acid Sequence Listing in Accordance With 37 CFR §1.821 - 1.825.

No additional fees are believed to be necessitated by the foregoing amendments. However, should this be erroneous, authorization is hereby given to charge Deposit Account No. 11-1153 for any underpayment, or credit any overages.

Respectfully submitted,

Michael D. Davis  
MICHAEL D. DAVIS  
Attorney for Applicant(s)  
Registration No. 39,161

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(201) 487-5800

Date: August 28, 2001